

## Lecture 20 - April 1

### Binary Search Trees, Balanced BSTs

***BST: Searching, Insertion  
High Balance Property  
Priority Queue: Introduction***

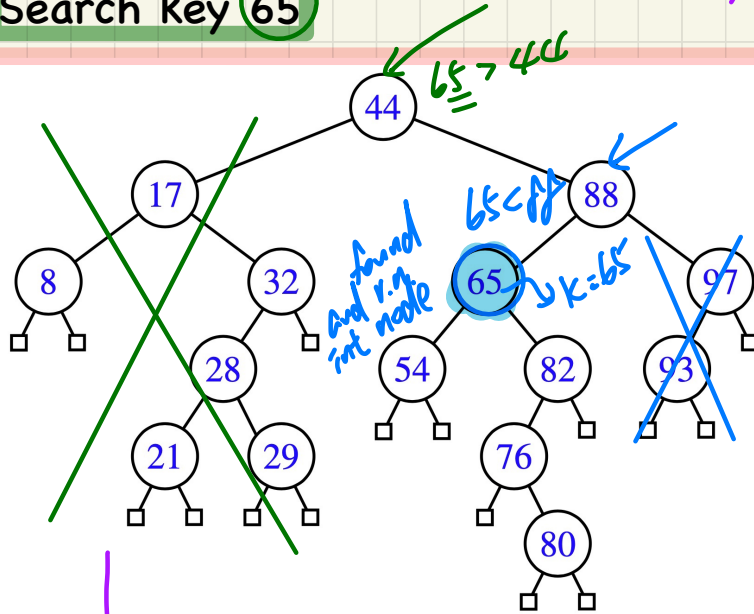
## Announcements/Reminders

- **Assignment 4** (on linked Trees) released
- **Makeup Lecture** (for **ProgTest2**) to be posted
- Bonus opportunity: Final **Course Evaluation**
- Office hours 3pm Tue/Wed/Thu this week
- Lecture notes template, Office Hours, TA Contact

\* for a subsequent insertion, this ext. node can be set with a key value  $\leq$  st.

## BST Operation: Searching a Key

Search key 65



eliminate this subtree from search space (how large?  $\frac{1}{2}$  or  $O(1)$ )

i.o.t. 54 65 76 to 82... \* ext.

searching

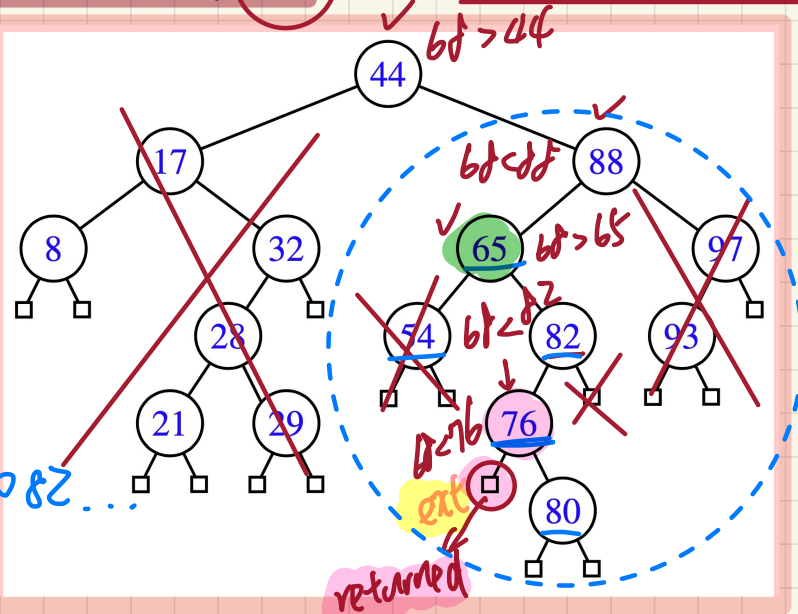
① successful

→ m. int. node with the matching key value

② unsuccessful

→ m. ext. node with k:  $65 < k < 76$

Search key 68



# Tracing: Searching through a BST

@Test

```
public void test_binary_search_trees_search() {
```

```
    BSTNode<String> n28 = new BSTNode<>(28, "alan");
    BSTNode<String> n21 = new BSTNode<>(21, "mark");
    BSTNode<String> n35 = new BSTNode<>(35, "tom");
    BSTNode<String> extN1 = new BSTNode<>();
    BSTNode<String> extN2 = new BSTNode<>();
    BSTNode<String> extN3 = new BSTNode<>();
    BSTNode<String> extN4 = new BSTNode<>();
    n28.setLeft(n21); n21.setParent(n28);
    n28.setRight(n35); n35.setParent(n28);
    n21.setLeft(extN1); extN1.setParent(n21);
    n21.setRight(extN2); extN2.setParent(n21);
    n35.setLeft(extN3); extN3.setParent(n35);
    n35.setRight(extN4); extN4.setParent(n35);
```

```
    BSTUtilities<String> u = new BSTUtilities<>();
```

```
    /* search existing keys */
```

```
    assertTrue(n28 == u.search(n28, 28));
```

```
    assertTrue(n21 == u.search(n28, 21));
```

```
    assertTrue(n35 == u.search(n28, 35));
```

```
    /* search non-existing keys */
```

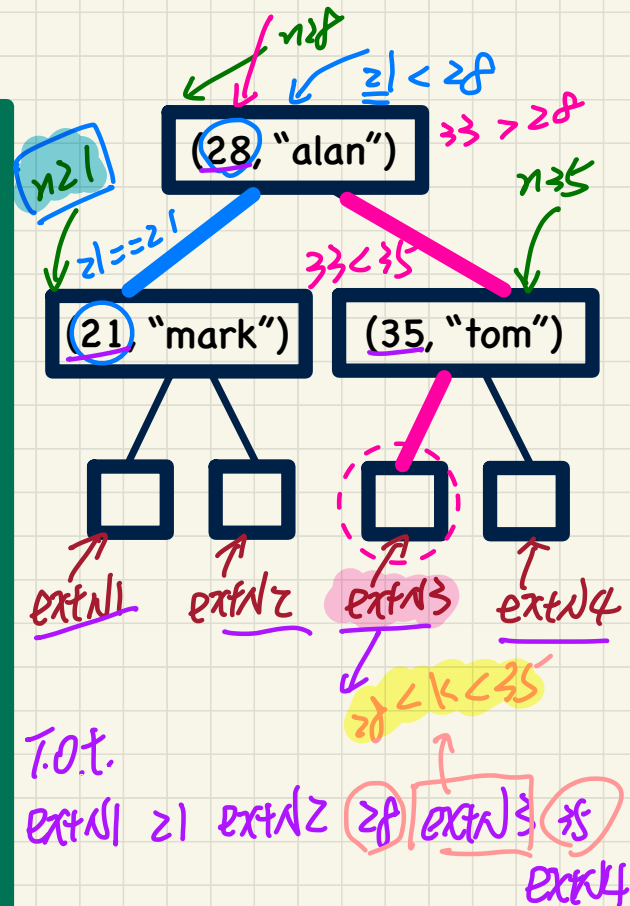
```
    assertTrue(extN1 == u.search(n28, 17)); /* *17* < 21 */
```

```
    assertTrue(extN2 == u.search(n28, 23)); /* 21 < *23* < 28 */
```

```
    assertTrue(extN3 == u.search(n28, 33)); /* 28 < *33* < 35 */
```

```
    assertTrue(extN4 == u.search(n28, 38)); /* 35 < *38* */
```

```
}
```



\* Remark: given  $N$  nodes:  $\_\leq h\_\$

## Running Time: Search on a BST

```
public BSTNode<E> search(BSTNode<E> p, int k) {
    BSTNode<E> result = null;
    if (p.isExternal()) {
        result = p; /* unsuccessful search */
    }
    else if (p.getKey() == k) {
        result = p; /* successful search */
    }
    else if (k < p.getKey()) {
        result = search(p.getLeft(), k);
    }
    else if (k > p.getKey()) {
        result = search(p.getRight(), k);
    }
    return result;
}
```

R1

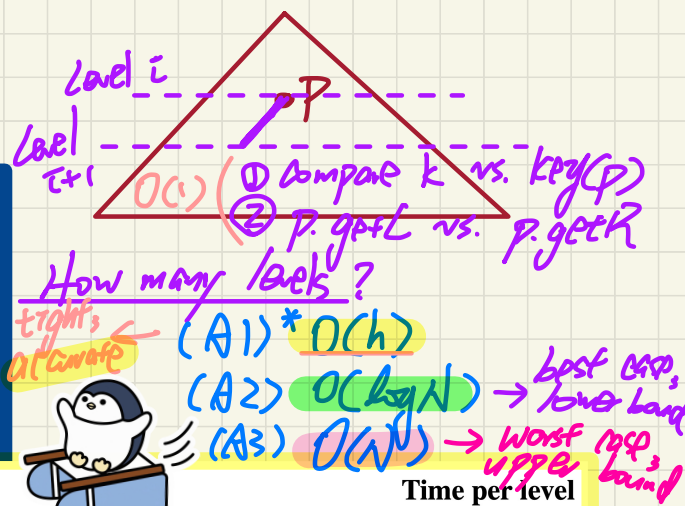
R2

root  
key to search

Height

$h$

Tree T:



Time per level

$O(1)$

$O(1)$

$O(1)$

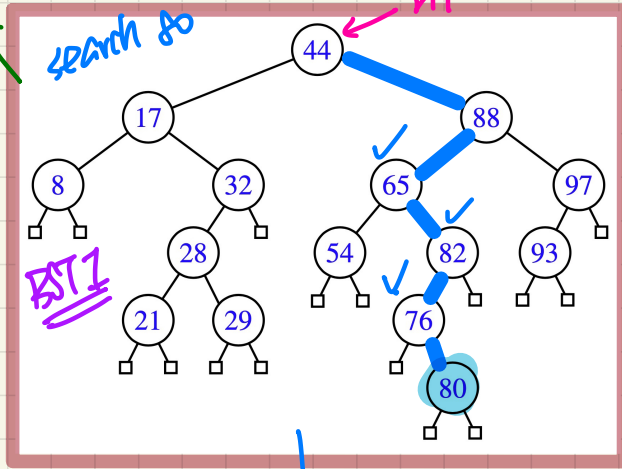
⋮

⋮

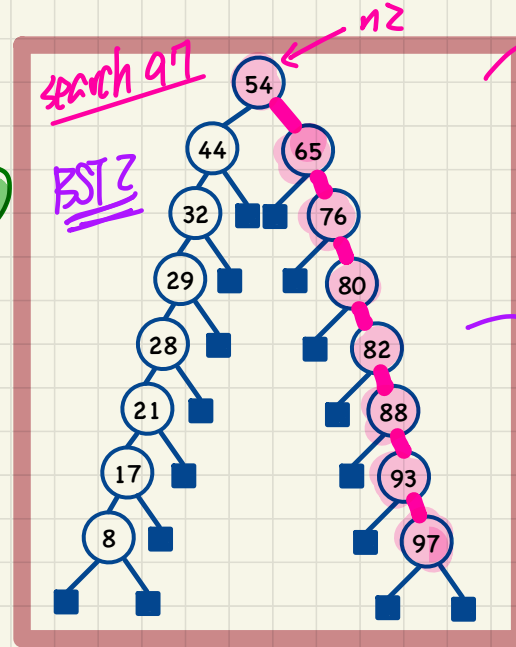
Total time:  $O(h)$

# Binary Search: **Non-Linear** vs. **Linear** Structures

balanced.



$N=15$   
 $h=5$   
 $\approx O(\log N)$



unbalanced  
 $N=15$   
 $h=7 \approx \frac{N}{2}$   
 $O(N)$

searching 97 on BST2  $\approx$  performing a linear search on a.

Search 80 on BST1  $\approx$  performing a binary search on a

I.O.T. ( $n1$ ) = I.O.T. ( $n2$ ) = a

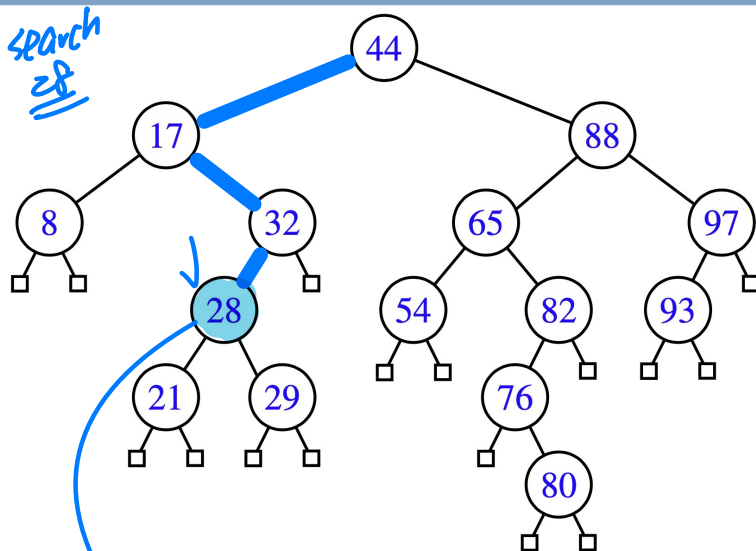
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
8	17	21	28	29	32	44	54	65	76	80	82	88	93	97

REVIEW



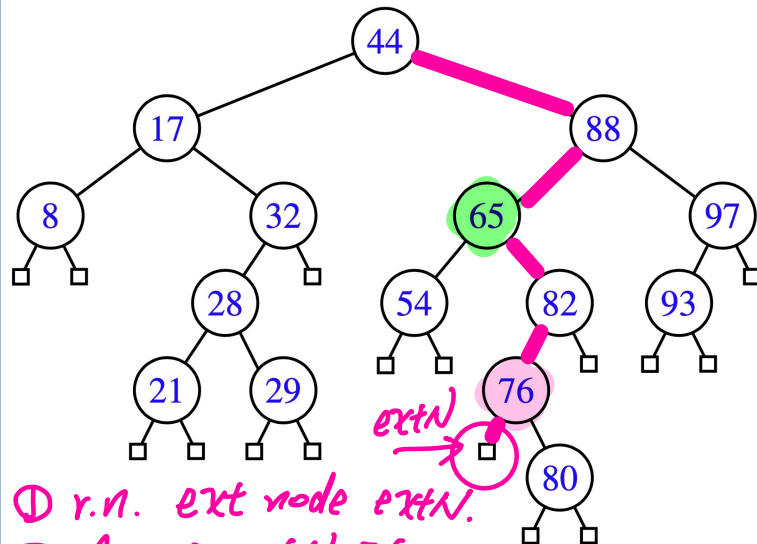
# Visualizing BST Operation: Insertion

Insert Entry (28 "suyeon")



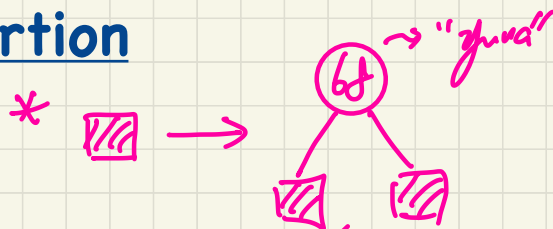
- ① r.n. int. node with key 28.
- ② node. set Ele. ("suyeon")

Insert Entry (68 "yuna")



- ① r.n. ext node extn.
- ② Convert extn into

\*



# Worst-Case RT: BST with Linear Height



Example 1: Inserted Entries with Decreasing Keys

<100, 75, 68, 60, 50, 1>

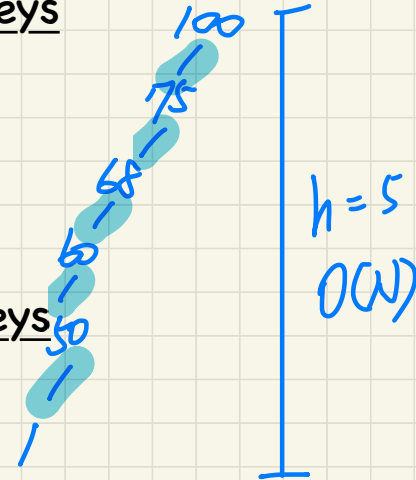
Example 2: Inserted Entries with Increasing Keys

<1, 50, 60, 68, 75, 100>

exercise  
(height?)  
N log N ?

Example 3: Inserted Entries with In-Between Keys

<1, 100, 50, 75, 60, 68>



BST with  $O(N)$  height  
 $\Rightarrow$  search/insert/delete  
can be  $O(N)$ .



# Balanced BST: Definition



- internal node
- height
- height balance

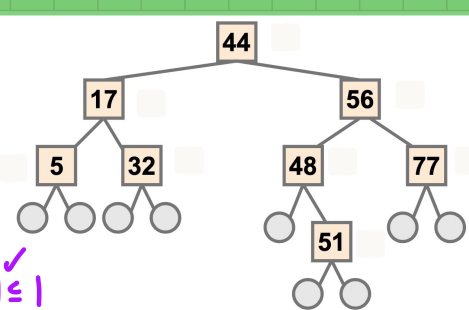
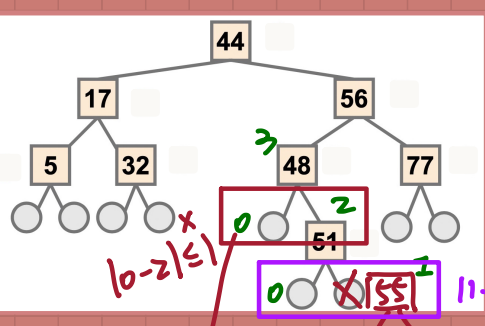
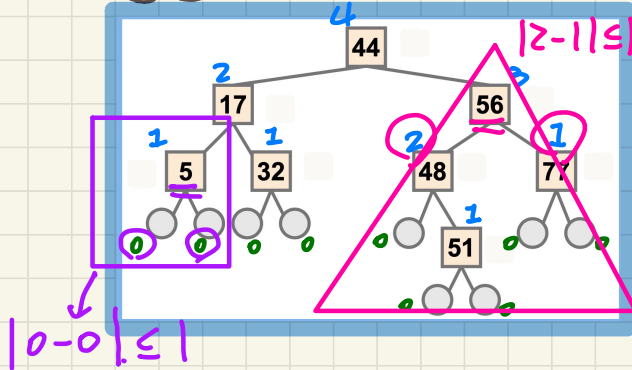
EE(S3)01

→ Fix/Repair HBP (type rotations) → AVL tpt RB tpt

Given a node  $p$ , the **height** of the subtree rooted at  $p$  is:

$$\text{height}(p) = \begin{cases} 0 & \text{if } p \text{ is external} \\ 1 + \text{MAX}(\{\text{height}(c) \mid \text{parent}(c) = p\}) & \text{if } p \text{ is internal} \end{cases}$$

*heights of p's children*



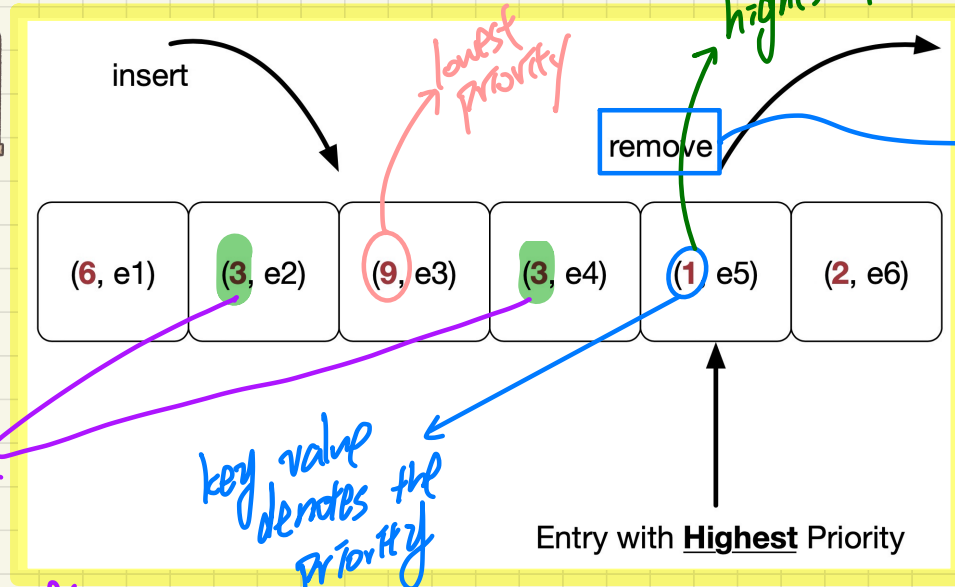
Q. Is the above tree a **balanced BST**?

Q. Still a **balanced BST** after inserting **55**?

Q. Still a **balanced BST** after inserting **63**?

HBP related  
→ logn search  
RT not guaranteed  
confused!

# What is a Priority Queue (PQ)



entries with the same priority (does not matter which entry is chosen).

key value denotes the priority

## Compare PQ with FIFO queue

1. Entries removed from PQ according to priorities.
2. Entries removed from FIFO a.t. chronological order